

# Application Form – Trusts

March 2025

## Complete this form for Australian regulated trusts and foreign trusts (New Zealand only).

For all other trusts, please go to [vanguard.com.au](https://vanguard.com.au) to apply online. For other investor types, please visit the Vanguard website at [vanguard.com.au/pds](https://vanguard.com.au/pds) for the relevant application form.

This application form is issued by Vanguard Investments Australia Ltd ABN 72 072 881 086, AFSL 227263 (Vanguard).



### Need help?

If you need any help completing this form or would like any further information please call Client Services on 1300 655 102 (8:30am to 5:30pm (AET) Monday to Friday).

Overseas investors please use country code (+61).

### Guide to investing

#### How to invest

1. Read the Product Disclosure Statement (PDS) for the fund.
2. Read the important information in the Vanguard Wholesale Funds Reference Guide.
3. Read and complete all relevant sections of this Application Form.
4. Read and provide a signature for the declaration in the Application Form.
5. Attach certified copies of your supporting identification and documents, including any power of attorney authorisations as per the checklist included at the end of this Application Form.

6. Lodge by mail your Application Form together with your supporting identification and documents. We recommend that you keep copies for future reference.

#### Relevant law

*Anti-Money Laundering and Counter-Terrorism Financing laws, and Sanctions laws and, Anti-Bribery and Corruption laws*

Vanguard has obligations under the provisions of Australia's *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (AML/CTF Act) to establish your identity and where appropriate, the identity of any other person or persons associated with your account. The AML/CTF Act also imposes a record-keeping obligation for Vanguard to retain certain customer identification information.

We also have obligations under Australian and international sanctions' laws, anti-bribery and anti-corruption laws, and any other relevant laws. To meet our customer identification requirements, we are required to collect information about you (and any related parties), information about your interactions with us, such as activity on your account and the source of funds or source of wealth used in connection with the investment. We may also collect public information about you, for the purposes of carrying out procedures to verify your identity, prior to providing our services to you. From time to time, Vanguard may also request additional information from you or require you to update the information we hold on you to ensure it is not only current, but accurate.

By applying for an investment account with Vanguard, you agree to each of the following:

- You are not applying under an assumed or false name (i.e., aliases or pseudonyms).
- Any money you contribute or invest is not derived from proceeds of crime or illegal activities and will not be used in relation to any criminal, terrorism and terrorism financing or other illegal activities.
- You will not wittingly initiate, engage in, or effect a transaction that may be in breach of AML/CTF law, anti-bribery or anti-corruption laws or sanctions (or other law or sanctions of any other country in which Vanguard operates).

Vanguard may also have a legal obligation requiring the disclosure of information collected about you to a regulatory, law enforcement or revenue protection agency, and importantly, we may be prohibited from telling you about this lawful request should it arise.

To comply with relevant laws and legislation, there may be circumstances where it is necessary for Vanguard to delay, block, freeze, or refuse to process a transaction, or to terminate any arrangement with you where we reasonably believe that the transaction may breach or cause us to commit or participate in an offence under any laws of the Commonwealth, States or Territories, including Australian or international sanctions' laws. Vanguard will not incur any liability for any loss suffered if we are required to undertake any of these actions.

#### ***US Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS)***

We may request such information or documents from you as is necessary to verify your identity and FATCA and CRS status, including self-certification forms. We may disclose this information to the IRS or Australian Taxation Office (ATO) (who may share this information with other tax authorities) as necessary to comply with FATCA, the IGA, CRS or applicable implementing law or regulation, which may include information about:

- Investors identified as US citizens or tax residents (information about corporations and trusts with US substantial owners or controlling persons will also be reported)

- All other investors identified as non-residents for CRS purposes (including non-resident controlling persons of certain entities)
- Investors who do not confirm their FATCA or CRS status
- Certain financial institutions that do not meet their FATCA obligations (non-participating foreign financial institutions)

Vanguard is not able to provide tax advice and strongly encourages investors to seek the advice of an experienced tax adviser to determine what actions investors may need to take in order to comply with FATCA and CRS.

#### **Privacy notification**

Vanguard is committed to respecting the privacy of your personal information. This privacy notice sets out important matters relating to why we collect and how we handle personal information, and how you can gain access to it or request to correct it.

#### ***Why is Vanguard collecting your personal information?***

When you complete this application form, Vanguard will be collecting any personal information you provide about yourself or any other persons. By disclosing information about other persons, you warrant that you have the consent of those parties to such collection, use, and disclosure of their information as described in this notice and Vanguard's Privacy Policy.

Vanguard collects personal information for the following purposes:

- to establish and administer investment accounts;
- to provide products and services, including purchasing and selling assets on behalf of investors;
- for communication purposes;
- to provide market commentary and information about products and services that may be of interest;
- to conduct analysis about products and services to enhance our service offerings;

- to protect legal rights and comply with our legal obligations including record-keeping, reporting, tax withholding, and client identification requirements under the following legislation *Corporations Act 2001* (Cth), *Income Tax Assessment Act 1997* and *1936* (Cth), *AML/CTF Act 2006* (Cth) and FATCA and CRS or related Australian legislation implemented to facilitate compliance with FATCA and CRS; and
- to protect against fraud or abuse and to maintain the integrity of our business systems and infrastructure.

We may also use your personal information for other related purposes which would reasonably be expected.

#### *Who may we disclose your information to?*

Vanguard may rely on other members of its corporate group or third parties to assist us in providing our services. If we disclose your personal information to third parties we will require that they handle information in a way that complies with the *Privacy Act 1988* (Cth) and any other applicable laws.

The types of third parties to whom we may disclose your personal information include:

- organisations involved in providing, managing or administering our products, systems or services such as administrators, mail houses and software and information technology providers;
- auditors, consultants and other professional advisers;
- authorised financial advisers;
- a representative who may be entitled to instruct on, or receive, the proceeds from a deceased investor's account balance (for example a Legal Personal Representative, executor, or administrator) or any person we contact to assist us in that process;
- other financial institutions who hold an account in an investor's name, for example, where amounts have been transferred to or from that account;
- market research companies who undertake research on behalf of Vanguard; and
- agencies who run competitions or promotions on the Vanguard website.

In addition, Vanguard may disclose your personal information to regulatory bodies or government entities as required or authorised by law including the Australian Securities and Investments Commission (ASIC), ATO and the Australian Transaction Reports and Analysis Centre (AUSTRAC). For example, information may be requested by authorities investigating alleged fraudulent or suspicious transactions in relation to an investor's account.

#### *What happens if you don't provide Vanguard with your personal information?*

If you do not provide all the personal information requested by Vanguard, we may not be able to process your application, provide services to you or provide you with information about our products and services. If you do not provide the information about your FATCA and CRS status, we may be required to provide your information to the ATO.

#### *Overseas disclosure*

Vanguard Investments Australia Ltd may disclose your personal information to members of the Vanguard group based outside of Australia including the United States, for example to utilise information technology infrastructure. We may also outsource certain processes to third parties based overseas to assist us in providing services to you.

#### **Contact us**

To find out more about Vanguard's approach to managing personal information, please see our Privacy Policy at [vanguard.com.au/privacy](http://vanguard.com.au/privacy). If you have any further questions relating to this privacy notice, wish to request access to or correction of the personal information we hold about you, or have concerns about the way in which we have handled your personal information, please contact us using one of the following contact methods:

Call 1300 655 102 (8:30am to 5:30pm (AET), Monday to Friday)

Email [vanguard@cm.mpms.mufg.com](mailto:vanguard@cm.mpms.mufg.com)

Write Vanguard Investments Australia Ltd  
C/- MUFG Corporate Markets  
Locked Bag 5038  
Parramatta NSW 2124

## Do you want to create a new investment account with Vanguard?

**Important:** If you are an existing investor, you are not required to open a new investment account to invest in a new Fund. To add a new fund to your existing account, please refer to the latest PDS and submit an Additional Investment Form.

### How to complete this form

Investor type	Complete sections
Individual trustess	1, 2, 4 to 12
Corporate trustess	1, 3, 4 to 12

**Note:** if you are a Custodian or a Nominee of a Custodian that meets the definition of Custodian set out in Rule 4.4.19 of the AML/CTF Rules, **please complete the Company Form instead.**

## Section 1. Trust

### 1.1 Trust details

Full legal name of trust (NOT the trustee)			
<input type="text"/>			
Full business name (if any) of the trustee in respect of the trust (e.g. trading names)			
<input type="text"/>			
Nature of business activity (e.g primary revenue generating activity)			
<input type="text"/>			
Was this trust established in Australia?			
<input type="checkbox"/> Yes	<input type="checkbox"/> No		
ABN	Foreign registration number (e.g. NZBN) (if applicable)		
<input type="text"/>	<input type="text"/>		
	Incorporation number (e.g. for NZ registered charities) (if applicable)		
	<input type="text"/>		
Date the trust was established (DDMMYYYY)			
<input type="text"/>			
Business address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<b>Settlor details</b>			
Provide the full name of the Settlor of the trust, unless:			
a) The Settlor of the trust is deceased.			
Note: In section 6 of this form you may be asked to provide additional tax information for the Settlor/Controlling person.			
Title	First name	Middle name	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

## 1.2 Type of trust

### 1.2.1 Australian regulated trust (select one of the following types of regulated trust, otherwise, **proceed to section 1.2.2**)

<input type="checkbox"/>	<b>ASIC registered managed investment scheme</b>	<b>Proceed to Section 2</b>
ARSN	<input type="text"/>	
<input type="checkbox"/>	<b>Managed investment scheme</b> (Where the scheme is not registered by ASIC, only has wholesale clients and does not make small scale offerings to which section 1012E of the <i>Corporations Act 2001</i> applies)	<b>Proceed to Section 2</b>
AFSL	<input type="text"/>	
<input type="checkbox"/>	<b>Government superannuation fund</b>	<b>Proceed to Section 2</b>
Provide name of the legislation establishing the fund	<input type="text"/>	
<input type="checkbox"/>	<b>APRA regulated superannuation fund</b>	<b>Proceed to Section 1.4</b>
RSE number	<input type="text"/>	
<input type="checkbox"/>	<b>Charity registered with the Australian Charities and Not for Profit Commission (ACNC)</b>	<b>Proceed to Section 1.4</b>
<input type="checkbox"/>	<b>Other regulated trust</b> (A trust that is subject to the regulatory oversight of a Commonwealth, State or Territory statutory regulator such as an approved deposit fund, a pooled superannuation trust, etc)	<b>Proceed to Section 1.4</b>
Provide name of the regulator (if applicable)	<input type="text"/>	
Registration/licensing details (if applicable)	<input type="text"/>	
Provide name of the legislation establishing the trust (if applicable)	<input type="text"/>	

### 1.2.2 Unregulated trust (including foreign trusts)

<input type="checkbox"/>	Family trust	<input type="checkbox"/>	Discretionary trust	<input type="checkbox"/>	Testamentary trust	<input type="checkbox"/>	Charity/Foundation (not registered with ACNC)	<input type="checkbox"/>	Custodian/Nominee*
<input type="checkbox"/>	Other (please specify below)								
<input type="text"/>									
<small>*That does NOT meet the definition of Custodian set out in Rule 4.4.19 of the AML/CTF Rules. If the Custodian meets the definition, please complete Company form instead.</small>									

### 1.3 Appointor – Controlling person

**Note:** In section 6 of this form you may be asked to provide additional tax information for the Appointor/Controlling person.

<b>Does the trust have an Appointor?</b> (an individual who has been granted specific powers by the trust deed e.g. the power to appoint or remove trustees. Sometimes referred to as Principal or Guardian)			
<input type="checkbox"/> No		<input type="checkbox"/> Yes (please complete below)	
Full legal name			Date of birth (DDMMYYYY)
<input type="text"/>			<input type="text"/>
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### 1.4 Trust beneficiaries (Please provide the full name of all listed beneficiaries and/or beneficiaries by class or category – as stated in the trust deed).

Please attach a separate page if you require more space.

Title	First name	Middle name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Are there any beneficiaries by class or category?			
<input type="checkbox"/> No		<input type="checkbox"/> Yes (please specify below, e.g. Family member, employee of a particular company)	
<input type="text"/>			

**Section 2. Individual trustees** (Complete this section if the trust has individuals acting as trustee. For corporate trustees refer to section 3.)

**Important:** Please provide the details of all trustees. Please attach a separate page if you have additional trustees.

**Trustee 1 details**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Email address (required to view statements)		Mobile phone	
<input type="text"/>		<input type="text"/>	
Full residential address (must NOT be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Trustee 2 details**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Email address (required to view statements)		Mobile phone	
<input type="text"/>		<input type="text"/>	
Full residential address (must NOT be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Section 3. Corporate trustee** (Complete this section if the trust has a company acting as a trustee)

**3.1 Corporate trustee details**

Full legal name of company (must match ASIC if registered domestic/foreign company)	
<input type="text"/>	
Country in which the corporate trustee is formed/incorporated (if not Australia)	
<input type="text"/>	
ACN	ABN (if any)
<input type="text"/>	<input type="text"/>
Nature of business activity	
<input type="text"/>	
ARBN (if registered foreign company)	Name of foreign registration body and identification number (if foreign company)
<input type="text"/>	<input type="text"/>
Account name (for custodian/nominees only e.g. ABC Ltd ACF XYZ Pty Ltd)	
<input type="text"/>	

**Full registered address** (must match ASIC if registered domestic/foreign company)

Address			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Principal place of business** (must match ASIC if registered domestic/foreign company)

<input type="checkbox"/> Same as registered address			
If registered foreign company, please select one <input type="checkbox"/> Principal place of business address in Australia <b>OR</b> <input type="checkbox"/> Australian agent name and address details			
Address			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Postal address**

<input type="checkbox"/> Same as registered address			
Address			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Contact details for the account**

Title	First name	Middle name(s)	Surname
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Role in the company			
<input type="text"/>			
Business phone		Mobile phone	
<input type="text"/>		<input type="text"/>	
Email address (required to view statements)			
<input type="text"/>			

**3.2 Company type** (select only **one** of the following categories)

<input type="checkbox"/> <b>Proprietary/Private</b> – proceed to section 3.3
<input type="checkbox"/> <b>Public</b> – proceed to section 3.4

**3.3 Directors** (required for all Proprietary companies as outlined in section 3.2, **NOT** required for Public companies)

Please attach a separate page if you require more space.

**Director 1**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of tax residency			
<input type="text"/>			

**Director 2**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of tax residency			
<input type="text"/>			

### Director 3

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Country of tax residency			
<input type="text"/>			

**Note: the following sections are to be completed by company trustees of unregulated trusts only as per section 1.2. Australian regulated trusts should proceed to Section 4.**

#### **3.4 Listing and Regulatory Details** (select any of the following categories **if applicable**, otherwise, **proceed to section 3.5**)

<input type="checkbox"/>	<b>Public listed</b>	<b>Proceed to Section 4</b>
	Name of exchange/ticker code	<input type="text"/>
	Country (if not Australia)	<input type="text"/>
<input type="checkbox"/>	<b>Majority owned subsidiary of of an Australian public listed company</b>	<b>Proceed to Section 4</b>
	Listed company name	<input type="text"/>
	Name of exchange/ticker code	<input type="text"/>
<input type="checkbox"/>	<b>Regulated in Australia</b> (subject to the supervision of an Australian Commonwealth, State or Territory statutory regulator (other than provided by ASIC as a company registration body).	<b>Proceed to Section 4</b>
	Regulator name	<input type="text"/>
	Licence details (e.g. AFSL, ACL, RSE)	<input type="text"/>

**3.5 Beneficial Owners/Senior Managing Official** (Note: This section is to be completed for all companies that are **not** public listed companies, majority owned by an Australian public listed company, regulated companies or custodians/nominees as outlined in section 3.4)

**Important:** Please provide details for all beneficial owners. A beneficial owner is any **individual** who ultimately owns or controls 25% or more of a company. **Ownership** can be direct (e.g. through shareholdings) or indirect (e.g. through another company's ownership). **Control** means having control through agreements, trusts or other arrangements (e.g. Appointor of a trust).

**Note:** In section 6 of this form, you may be asked to provide additional tax information in your capacity as a Controlling Person.

**(A) Are there any individuals who ultimately own 25% or more of the company's issued share capital?** For example, ABC Pty Ltd is equally owned by John Smith and XYZ Pty Ltd. John Smith is a beneficial owner because he owns 50% of the shares. XYZ Pty Ltd is equally owned by Mary and Joseph. This means each of them ultimately own 25% of ABC Pty Ltd and are considered beneficial owners.

Yes – complete section 3.5.1  No

**(B) Are there any individuals or companies holding 25% or more of the shares in the company non-beneficially?** This is indicated in the members shareholding section in the company's ASIC report.

Yes – complete section 3.5.2  No

If you answered **Yes** to both **(A) and (B)**, complete **sections 3.5.1 and 3.5.2**  
 If you answered **No** to both **(A) and (B)**, complete **section 3.5.3**

**3.5.1 Beneficial Owners** (complete if you answered **Yes** to section 3.5 (A). Please attach a separate page if you require more space.)

**Beneficial Owner 1**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname	Date of birth (DD/MM/YYYY)		
<input type="text"/>	<input type="text"/>		
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Beneficial Owner 2

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Beneficial Owner 3

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

### Beneficial Owner 4

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**3.5.2 Appointor** (Ensure details are provided for all individuals or entities holding 25% or more of the company shares non-beneficially if you answered **Yes** to section 3.5 (B))

**Note:** The company's ASIC report indicates where shares are held **non-beneficially** by an individual or entity. This means that the shares are being held on behalf of another person as trustee of a trust. In this case, the **Appointor** of the relevant trusts (i.e., those individuals who have the power to appoint the trustees) is also considered a beneficial owner.

For example, ABC Pty Ltd is equally owned by John Smith and XYZ Pty Ltd. Each holds 50% of the shares **non-beneficially**. John Smith is holding the shares on behalf of the Smith Discretionary Trust. XYZ Pty Ltd is holding the shares on behalf of the XYZ Family Trust.

We must collect the details of the **Appointors** of the Smith Discretionary Trust and XYZ Family Trust.

Please attach a separate page if you require more space.

**Appointor 1**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Appointor 2**

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**3.5.3 Senior Managing Official** (Complete this section if you answered **No** to both sections 3.5 (A) and 3.5 (B)).

Where no individual directly or indirectly holds or controls 25% or more of a company, a nomination for the **Senior Managing Official (SMO)** of the company is required. This is an individual that makes, or participates in making, decisions that affect the whole, or a substantial part of the business of the organisation, or who has the capacity to significantly affect the financial standing of the organisation (e.g. CEO). Please nominate only **one** SMO.

Title	First name	Middle name(s)	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Surname		Date of birth (DD/MM/YYYY)	
<input type="text"/>		<input type="text"/>	
Role/Position within the company			
<input type="text"/>			
Full residential address (must not be a PO Box)			
<input type="text"/>			
Suburb	State	Postcode	Country
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

**Section 4. Tax File Number (TFN) declaration or exemption** (Please select ONE option)

You may choose to quote your Company ABN, TFN or claim an exemption in relation to your investment in the fund by completing this section. Collection of your TFN is authorised, and its use and disclosure are strictly regulated by tax laws and the *Privacy Act*. Quotation is not compulsory but if you choose not to, and do not claim an exemption, we are required to deduct tax on any income distribution at the prescribed rate (at the date of this Form this was the highest marginal tax rate plus Medicare levy). For custodian applications, please note that the TFN and/or ABN provided must be that of the account custodian.

<input type="checkbox"/>	I wish to quote company TFN	TFN:	<input type="text"/>
<input type="checkbox"/>	I wish to quote an ABN	ABN:	<input type="text"/>
<input type="checkbox"/>	I do not wish to quote company TFN		
<input type="checkbox"/>	I am exempt from quoting a TFN	Reason:	<input type="text"/>
<small>(Please refer to ATO website to determine if you are entitled to an exemption)</small>			

**Section 5. Additional tax information – Trust**

**FATCA/CRS status – Trusts**

This section is part of the identification requirements relating to FATCA/CRS.

**Important: All applicants must complete Part (a) and Part (b).**

**(a) Select ONE of the following categories for the trust and provide the information requested.**

If you do not provide the information about the trust’s tax status, we may be required to provide information to the ATO.

**A Financial Institution**

(A custodial or depository institution, an investment entity or a specified insurance company for FATCA/CRS purposes)

Provide the trust’s Global Intermediary Identification Number (GIIN), if applicable

**OR If the trust does not have a GIIN, please provide its FATCA status** (please select ONE option)

- Deemed Compliant Financial Institution
- Excepted Financial Institution
- US Financial Institution
- Exempt Beneficial Owner
- Non-participating Financial Institution

**Is the Financial Institution an Investment Entity located in a Non-Participating CRS Jurisdiction\* and managed by another Financial Institution?**

- Yes (please also complete Part (b) and all following sections)
- No

**An Active Non-Financial Entity (NFE)** (please select ONE option)

- Australian Registered Charity
- Foreign Charity
- Other (please specify)

**AND for any trust that is an Active NFE, is the entity exempt from FATCA reporting?**

- Yes
- No

**Passive Non-Financial Entity** (please also complete Part (b) and all following sections)

**Deceased Estate**

\*CRS Participating Jurisdictions are on the OECD website at [oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction](http://oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/crs-by-jurisdiction)

For more information including definitions and terms please refer to the ATO website.

### (b) Tax Identification Number (TIN)

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B, or C).

Reason A: The country of tax residency does not issue TINs (or equivalent number) to its residents

Reason B: The trust is unable to obtain a TIN (or equivalent number)

Reason C: The country of tax residency does not mandate provision of the TIN (no TIN is required)

Is the trust a tax resident of Australia?

No

Yes

Is the trust a tax resident of a country other than Australia?

No

Yes (please provide the trust's TIN or equivalent below)

Note: Please attach a separate sheet if the trust is a tax resident of more than two other countries.

Country 1

TIN

If no TIN, please list reason (A, B or C)

Country 2

TIN

If no TIN, please list reason (A, B or C)

**If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.**

Country 1 reason

Country 2 reason

**Primary tax residence:** If you are a tax resident of both Australia and another country, we will consider Australia as your primary tax residence. If not, please specify your primary tax residence outside of Australia:

## Section 6. Additional tax information – Controlling person

### FATCA/CRS status – Controlling person

Tax residency rules differ by country. Whether an individual is a tax resident of a particular country is often (but not always) based on the amount of time a person spends in a country, the location of a person's residence or place of work. For the US, tax residency can also be as a result of citizenship or residency.

Are any of the **Controlling persons of the trust** tax residents of countries other than Australia?

No

Yes (please provide the requested details below – attach a separate page if you need more space)

**Controlling person 1**

Full name

Role (e.g. Trustee, Settlor, Beneficiary etc)

**Controlling person 2**

Full name

Role (e.g. Trustee, Settlor, Beneficiary etc)

### Tax Identification Number (TIN) – Controlling Person

A TIN is the number assigned by each country for the purposes of administering tax laws. This is the equivalent of a Tax File Number in Australia or a Social Security Number in the US. If a TIN is not provided, please list one of the three reasons specified (A, B, or C).

Reason A: The country of tax residency does not issue TINs (or equivalent number) to its residents

Reason B: The Individual is unable to obtain a TIN (or equivalent number)

Reason C: The country of tax residency does not mandate provision of the TIN (no TIN is required)

For each Controlling Person, please provide the TIN or equivalent below. If the Controlling Person(s) are a tax resident of more than one other country, please list all relevant countries. Please attach a separate page if you require more space.

#### Controlling Person 1

Full name

Country

TIN

If no TIN, please list reason  
(A, B or C)

**If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.**

Reason

#### Controlling Person 2

Full name

Country

TIN

If no TIN, please list reason  
(A, B or C)

**If you selected Reason B for any Country, please explain why you are unable to obtain a TIN in the box provided below.**

Reason

## Section 7. Investment selection

Important: Initial investment applications must be for a minimum of **\$500,000** per fund. Amount to be invested must be in Australian dollars unless indicated otherwise. Vanguard will automatically reinvest your distribution in units of your chosen fund if you do not make a selection between "reinvest distributions" and "credit bank account". If you select to "credit bank account" for your distributions, please provide your bank details in section 9.

Fund name	APIR code	Amount to be invested	Reinvest Distributions	Credit bank account
Vanguard Cash Reserve Fund	VAN0020AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Short Term Fixed Interest Fund	VAN0102AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Government Bond Index Fund	VAN0025AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Fixed Interest Index Fund	VAN0001AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Corporate Fixed Interest Index Fund	VAN0065AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Inflation-Linked Bond Index Fund	VAN0064AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Fixed Interest Index Fund (Hedged)	VAN0103AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Credit Securities Index Fund (Hedged)	VAN0106AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Diversified Bond Index Fund	VAN0042AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Aggregate Bond Index Fund (Hedged)	VAN9309AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – AUD Hedged	VAN2989AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious Global Aggregate Bond Index Fund (Hedged) – NZD Hedged	VAN1233AU	NZ\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Infrastructure Index Fund	VAN0023AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Infrastructure Index Fund (Hedged)	VAN0024AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Property Securities Index Fund	VAN0004AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Property Securities Index Fund	VAN0018AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Property Securities Index Fund (Hedged)	VAN0019AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard S&P 500 US Shares Index Fund	VAN4795AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Shares Index Fund	VAN0002AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Australian Shares High Yield Fund	VAN0104AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious Australian Shares Fund	VAN4509AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Index Fund	VAN0003AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

Continued on next page

Fund name	APIR code	Amount to be invested	Reinvest Distributions	Credit bank account
Vanguard International Shares Index Fund (Hedged)	VAN0105AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund	VAN1579AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund – AUD Hedged	VAN3200AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares Select Exclusions Index Fund – NZD Hedged	VAN8287AU	NZ\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious International Shares Index Fund	VAN8175AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious International Shares Index Fund – AUD Hedged	VAN0848AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Ethically Conscious International Shares Index Fund – NZD Hedged	VAN4013AU	NZ\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Shares High Yield Fund	VAN8886AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Small Companies Index Fund	VAN0021AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard International Small Companies Index Fund (Hedged)	VAN0022AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Emerging Markets Shares Index Fund	VAN0005AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Conservative Index Fund	VAN0109AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Balanced Index Fund	VAN0108AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Growth Index Fund	VAN0110AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard High Growth Index Fund	VAN0111AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Minimum Volatility Fund	VAN0068AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Global Value Equity Fund	VAN0074AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Active Global Growth Fund	VAN0722AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Active Positive Impact Fund	VAN2580AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vanguard Active Global Credit Bond Fund	VAN3932AU	\$ <input type="text"/> , <input type="text"/> , <input type="text"/> . <input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>

## Section 8. Initial investment funding

### Contact us

Once you have sent us your application form, please call Client Services on 1300 655 102 and Vanguard will provide you with details on how to complete your initial investment. Please note that funds must be received within 14 days of account establishment.

## Section 9. Banking instructions

Please provide Australian bank account details in the **name of the trust**. This information **must** be provided so that Vanguard can make payments to you. Your account will **not be debited**.

BSB number	Account number
<input type="text"/>	<input type="text"/>
Account name (eg. XYZ Pty Ltd)	
<input type="text"/>	
Name of financial institution	
<input type="text"/>	

## Section 10. Annual reports

The annual reports will be made available on [vanguard.com.au](http://vanguard.com.au). We will notify you when this occurs, unless you request below that you do not want to be notified. If you would like a physical copy (provided free of charge) please contact Client Services.

I/We do **not** want to be notified of the availability of the annual report.

Note: The annual report is **not** your annual tax statement.

## Section 11. Direct marketing

Please do not give my/our personal details to market research companies conducting research for Vanguard.

Please do not send me/us Vanguard marketing and/or educational material.

## Section 12. Declarations and signatures (must be completed)

### Declarations

- I/We have received and read the PDS for the Vanguard Wholesale Fund/s to which this application applies together with the other important information taken to form part of the PDS and agree to be bound by the constitution of the Vanguard Wholesale Fund/s to which this application applies (as amended from time to time).
- I/We declare that all information provided in this application is true and correct.
- I/We declare that in deciding to invest the only information and representations provided by Vanguard are those contained in the PDS to which this application applies together with the other important information taken to form part of the PDS.
- I/We understand that the PDS for the Vanguard Wholesale Fund/s to which this application applies is not a contract and has been prepared to help me/us make an informed decision about whether the selected Vanguard Wholesale Fund/s is right for me/us.
- I/We declare and warrant that I/we are not a US Person as defined under Regulation S of the US federal securities laws and will not hold units for or on behalf of US Persons.
- I/We declare that I/we have the capacity and legal power to make an investment in accordance with the application and have complied with all applicable laws in doing so.
- If signed under power of attorney, then the attorney verifies that no notice of revocation of that power has been received.
- I/We acknowledge that joint applicants or signatories who allow either investors or signatories to give instructions in relation to an investment in the Vanguard Wholesale Funds will bind other investors or signatories for all transactions in connection with the investment (including changes to account details).
- I/We understand that Vanguard may request or require additional personal or investor information in order to fulfil legislative obligations. Failure to supply the information punctually may result in Vanguard being prevented by law from carrying out instructions.
- I/We understand and agree that, even if all information requested on this application form has been provided and received by Vanguard prior to the cut-off time for receiving instructions, the processing of my/our application may be postponed or delayed while Vanguard verifies and considers information, in which case my/our application will be processed at the unit price applicable for the business day as at which all information has been received and verified.
- I/We understand that Vanguard will not be familiar with, and is not responsible for being familiar with, the contents of any document that I/ we provide in connection with this investment or subsequent investments, for example, trust deeds, constitutions, governing rules and minutes of resolutions. I/We release Vanguard from all responsibility and liability whatsoever in connection with any action or inaction by Vanguard which constitutes or gives rise to an inconsistency with, or breach of, any such documents, and will indemnify Vanguard in respect of any such liability.
- I/We understand that none of The Vanguard Group, Inc. (including Vanguard Investments Australia Ltd) or their related entities, directors or officers guarantee the performance of, the repayment of capital, any particular rate of return or any distribution, in respect of the Vanguard Wholesale Funds.
- I/We acknowledge and agree that the Vanguard Wholesale Funds may invest in other Vanguard funds and that Vanguard will derive and retain remuneration from those other Vanguard funds.
- I/We acknowledge my/our liability under the Vanguard Wholesale Fund/s constitution to reimburse and indemnify Vanguard for all taxes, duties and charges imposed against Vanguard or its agents that may be assessed against Vanguard as a result of my/our entitlement to the capital or distributable income (Taxation Amount) of the Vanguard Wholesale Fund/s to which this application applies.
- I/We authorise Vanguard to deduct from my/our income distributions payable from the Vanguard Wholesale Fund/s, on account of the Taxation Amount which Vanguard is or may become liable to pay in respect of my/our entitlement to the capital or distributable income of the Vanguard Wholesale Fund/s to which this application applies.
- I/We have read the Privacy Policy referred to in the PDS for the Vanguard Wholesale Fund/s to which this application applies and I/we consent to the handling and disclosure of my personal information as described in the Privacy Policy.
- I/We agree to receive the PDS and other important information that is taken to form part of the PDS relating to my/our investment/s in the Vanguard Wholesale Fund/s to which this application applies, electronically via email, or on a website designated by Vanguard, or other electronic delivery method. (I/We understand that I/we can request a paper copy of the PDS or any other important information that is taken to form part of the PDS free of charge at any time.)
- I/We agree to receive communications about my/our investment(s) in the Vanguard Wholesale Fund/s electronically, such as by email, via our website, or through another electronic delivery method. This includes important information such as transaction confirmations, transaction statements, distribution statements, annual tax statements, rebate statements and exit statements. I/We understand that I/we can request a paper copy of such communications for the current period free of charge at any time.
- I/We acknowledge that it is a condition of using the switching facility and making additional investments via an Additional Investment Form that I/we obtain and read the current PDS for the Vanguard Wholesale Fund/s together with the other important information taken to form part of the PDS prior to making each and every investment in the Vanguard Wholesale Fund/s to which the investment applies.
- I/We acknowledge that upon receipt of this application or an application for an additional investment (whether by way of a form or other method approved by Vanguard), Vanguard (in its own right and in its capacity as trustee or responsible entity for the Vanguard Wholesale Fund/s) may enter into transactions to purchase the amount of the selected Vanguard Wholesale Fund/s identified in this application form in anticipation of receiving my/our investment money.
- Subject to Vanguard accepting this application, and in consideration of Vanguard doing so, I/we agree to indemnify Vanguard in its own right and in its capacity as trustee or responsible entity for the Vanguard Wholesale Fund/s in relation to any loss, liability, cost, expense, damage, charge, penalty, outgoing or payment, however arising and whether present, unascertained, future or contingent, reasonably incurred by Vanguard or the Vanguard Wholesale Fund/s arising from or in connection with my/our investment money, through no fault of Vanguard, not being received as cleared funds by 10am (AET) the day after the relevant application form, additional investment form or valid instruction sent by a method approved by Vanguard is provided to Vanguard or the Vanguard Wholesale Fund/s.
- I/We acknowledge that withdrawal proceeds may be paid by cheque if my/our nominated bank account does not match the details previously provided.



## 12.1 Investor signatures

Each signatory below confirms that they have been duly authorised to execute this application on behalf of the investor(s) and that the signing authorities have also been duly authorised.

**For corporate trustees:** please provide signatures and details of at least two directors.

**For sole directors:** please also provide signature and details of the company secretary (if any).

**For individual trustees:** please provide signature and details for all trustees.

Authorised signatory 1	Authorised signatory 2
Signature (please use BLACK pen)  <p style="color: red; text-align: right;">We will not accept stamped signatures.</p>	Signature (please use BLACK pen)  <p style="color: red; text-align: right;">We will not accept stamped signatures.</p>
Full name <input type="text"/>	Full name <input type="text"/>
Role <input type="text"/>	Role <input type="text"/>
Date (DDMMYYYY) <input type="text"/>	Date (DDMMYYYY) <input type="text"/>

## 12.2 Account signing authorities (please tick one option)

Please indicate below who can give us instructions in relation to your investment. If you do not tick one of the options below, all instructions must be signed by all authorised signatories, or as otherwise permitted by law. You must provide a certified copy of identification for all authorised signatories.

<input type="checkbox"/> Signatory 1 ONLY	<input type="checkbox"/> Allow other authorised signatories <i>(please complete the Authorised Representative form at <a href="http://vanguard.com.au/forms">vanguard.com.au/forms</a> to submit with this application OR provide a certified copy of an authorised signatories list)</i>
<input type="checkbox"/> Signatory 2 ONLY	
<input type="checkbox"/> Signatory 1 AND 2	<input type="checkbox"/> Power of attorney <i>(please submit a certified copy of the power of attorney and identification documents required for an individual)</i>
<input type="checkbox"/> Either Signatory 1 OR 2	



**Before you submit**

## Checklist

### Before you submit your form, please ensure:

- You have signed and dated the declaration.
- You have indicated the account signing authorities.
- You have attached the necessary identification documents as specified in the 'Forms of identification required' section.

## Forms of identification required

This section outlines the types of identification documents that we will collect from you. You must provide these along with this form, however, we may request additional information at a later date. If you do not provide the documents we request, we may not be able to process your account information update. Alternative forms of identification may be acceptable under circumstances permitted by law. Non-English documents must be accompanied with a translation prepared by an accredited translator.

### Identification required for the trustee(s) and related parties









Investor type	Type of ID to submit (select one)	ID requirements
<b>Related parties:</b> Individual trustee(s), Appointors, Beneficial Owners, Senior Managing Official, Signatories	Option 1: Driver's licence	<input type="checkbox"/> A certified copy of a current Australian driver's licence (front and back), displaying your current residential address.
	Option 2: Passport & Utility bill	<input type="checkbox"/> A certified copy of your current passport* <b>AND</b> <input type="checkbox"/> A certified copy of a utilities bill (gas, water or electricity, mobile phone, Internet provider account or Local Government Rates account), issued in the last 3 months, displaying your name and the residential address stated on this Form.
<b>Corporate trustee</b>	Company extract	<input type="checkbox"/> A copy of the most current company extract from the ASIC database (or foreign equivalent), displaying the company's name, registration number, registered office, company officers (e.g. directors, company secretary) and beneficial owners. <b>Unregulated trusts/proprietary company:</b> Where there are company shareholders owning 25% or more of the shares (beneficially held), please provide the relevant company extract for that company.
	Trust Deed Extract(s) (for non-beneficially held shares only)	<input type="checkbox"/> A certified copy of the trust deed extract containing name of the trust, full name of all trustee(s), beneficiaries, name of Settlor and Appointor (if applicable), asset contribution and the signatories page(s).

\* Australian passport may be expired up to two years – a foreign passport must not be expired.

### Identification required for Unregulated Trust

Investor type	Type of ID to submit	ID requirements
<b>All trust types (except testamentary)</b>	Trust Deed Extract(s)	<input type="checkbox"/> A certified copy of the trust deed extract containing name of the trust, full name of all trustee(s), beneficiaries, name of settlor and Appointor (if applicable), asset contribution and the signatories page(s)
<b>Testamentary trust</b>	Grant of probate & Will	<input type="checkbox"/> A certified copy of the grant of probate or letters of Administration <b>AND</b>
		<input type="checkbox"/> A certified copy of the will which specifies details of the testamentary trust including the beneficiaries and nominated trustees. If applicable, please include a testamentary trust schedule attached to the Will.

## Identification required for Regulated Trust

Investor type	Type of ID to submit	ID requirements
<b>Charity (registered with ACNC)</b>	Trust Deed Extract(s)	 A certified copy of the trust deed extract containing name of the trust, full name of all trustee(s), beneficiaries, name of Settlor and Appointor (if applicable), asset contribution and the signatories page(s)
<b>Registered managed investment scheme</b>	PDS	 A copy of the PDS or equivalent disclosure document
<b>Unregistered managed investment scheme</b>	PDS & AML assurance statement	 A copy of the PDS or equivalent disclosure document  A letter on company letterhead attesting that the scheme only has wholesale clients and does not make small scale offerings to which section 1012E of the <i>Corporations Act 2001</i> applies
<b>Government superannuation fund</b>	Legislation & Letter	 A copy or extract of the relevant legislation establishing the fund  A signed letter from the Minister or head of the entity (or their delegate) that includes the name, job title and contact details of the person who is authorised to act on behalf of the government agency/entity/body
<b>Other regulated trust</b>	Legislation PDS (as applicable)	 A copy or extract of the relevant legislation establishing the fund  A copy of the PDS or equivalent disclosure document

## Certification requirements

The certification of your documents must be completed in Australia by a person in one of the positions below and who is not closely related to you (i.e. a parent, spouse, de facto partner or child).

When having copies of documents certified, you should show both the original document and copy to the eligible certifier. For a more detailed list of eligible certifiers, please refer to the Certification Guide on our website at [fund-docs.vanguard.com/AU-Vanguard\\_Certification\\_guide](http://fund-docs.vanguard.com/AU-Vanguard_Certification_guide) or contact Client Services.

### Eligible certifiers include

- Lawyer
- Pharmacist
- Police Officer
- Accountant
- Post Office
- Justice of the Peace

Document type	Sample wording	Certification requirements
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## How to submit your application



Please mail your completed application to:  
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